HKIB The Hong Kong Institute of Bankers | CERTIFIED BANKER

ASCEND Advancing Future Banking Capabilities

Technology and Data | Soft Skills | Banking Knowledge

Responsible AI Governance and Human Oversight in Banking

Programme Code: THRFT00P26101

21 October 2026 (Wednesday)
7:00pm – 10:00pm

3 HOURS

HKIB CPD | SFC CPT | PWMA OPT

Programme Outline

The AI pillar of Fintech 2030 (AI² Strategy) and related HKMA guidance establish clear expectations for responsible AI adoption. This course explores the governance, oversight and control frameworks needed to support AI implementation in a regulated banking environment.

Key Topics Covered:

- Overview of the HKMA Fintech 2030 AI² Strategy and evolving supervisory expectations (from the 2019 BDAI guiding principles to GenAI-specific consumer protection guidance).
- Core elements of AI governance: board and senior management accountability, organisational structures, risk appetite, and model risk considerations.
- Human-in-the-loop requirements and practical implementation across different use cases (especially early-stage and customer-facing applications).
- Fairness, transparency, explainability and data privacy expectations, with illustrations of how banks are addressing bias, hallucination and disclosure.
- Regulatory expectations for ongoing monitoring, performance tracking and assurance mechanisms.
- Practical illustrations drawn from GenAI Sandbox experience and common implementation approaches in Hong Kong authorised institutions.

Speaker Introduction

The speaker is the former Chief Executive of a licensed SVF, this professional holds significant expertise in financial services. With a background in financial crime compliance and executive leadership, they bring a wealth of experience from various banking and regulatory roles.
Certified Anti-Money Laundering Specialist (CAMS), Certified AML Professional (CAMLP), Fellow of the Hong Kong Securities and Investment Institute (FHKSI); and Master degrees in Law (LLM, CUHK), Banking (MSc, CityU), and Asian Studies (MA, HKU); Bachelor degrees in Public & Social Administration (BA(Hons), CityU) and Law (LLB, MMU, UK).

Target Audiences

1. **Banking Practitioners;**
2. **Relationship Managers, Compliance Officer, IT Professionals and Operations Staff;**
3. **HKIB Professional Qualification Holders (eligible for CPD Core Hours):**

CCOP(GC) CCOP(IIC) ACOP
CCRP(CL) CCRP(CPM) ACRP CRWP ARWP
CPFinT(M) CPFinT (S-AIBD) CPFinT (S-OBAPI) CPFinT (S-DLT) CPFinT (S-RT) CPFinT(A)
CORP AORP ACsP

Programme Delivery

Virtual Classroom (Zoom) FLEX LEARNING
Cantonese

Application DEADLINE

14 October 2026 (Wednesday)

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